



L.G. BALAKRISHNAN & BROS LIMITED

LGB/SEC/STK-2021

28.06.2021

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001	National Stock Exchange of India Limited "Exchange Plaza" Bandra Kurla Complex Bandra (E) Mumbai - 400 051
Scrip Code: 500250	Scrip Code: LGBBROSLTD

Dear Sirs,

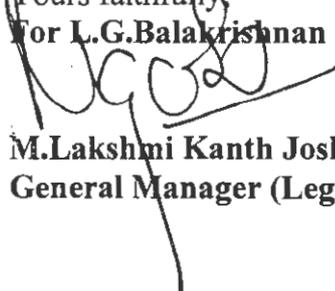
Sub: Submission of Secretarial Compliance Report for the Financial Year ended March 31, 2021.

In compliance to the SEBI circular no. CIR/CFD/CMD1127/2019 dated February 08, 2019, we are enclosing herewith the Secretarial Compliance Report for the financial year ended March 31, 2021 issued by the MDS & Associates, Company Secretaries in the format prescribed under said circular.

Kindly take the same on record

Thanking You,

Yours faithfully,
For L.G. Balakrishnan & Bros Limited


M. Lakshmi Kanth Joshi
General Manager (Legal) and Company Secretary



MDS & Associates
Company Secretaries in Practice

M.D. Selvaraj M Com MBA FCS

"SURYA", 35, Mayflower Avenue, Sowripalayam Road, Coimbatore 641028.

Phone : 0422-2318780, 2316755, Fax : 0422-2314792, E-mail : mds@mdsassociates.in, Web : www.mdsservices.in

**Secretarial Compliance Report of M/s. L G Balakrishnan & Bros Limited
for the year ended 31st March, 2021**

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 08.02.2019]

I, **M D Selvaraj (FCS 960 / CP.No.411)** of M/s. MDS & Associates, Company Secretaries, have examined:

- (a) all the documents and records made available to us and explanation provided by M/s. L G BALAKRISHNAN & BROS LIMITED (CIN: L29191TZ1956PLC000257) ("the listed entity");
- (b) the filings/ submissions made by the listed entity to BSE Limited and National Stock Exchange of India Limited ("the stock exchanges");
- (c) website of the listed entity;
- (d) Any other document/ filing as may be relevant, which has been relied upon to make this certification

for the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of:-

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, as applicable to the listed entity, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- (e) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018; and
- (f) circulars/ guidelines issued thereunder;



Secretarial Compliance Report of L G Balakrishnan & Bros Limited

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) There were no actions taken against the listed entity / its promoters / directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.
- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports (i.e. FY 31 st March, 2020)	Observations made in the secretarial compliance report for the year ended 31 st March, 2020	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	The market purchase of the equity shares of the Company by one of the entities belonging to the promoter group and the market sale of, the invocation and creation of pledge on the equity shares of the Company by one of the promoter directors during the period from "24 th March, 2020 to 31 st March, 2020", were belatedly reported to the Stock Exchange(s) under Regulation 7(2)(b) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 on 16 th May, 2020 and 20 th May, 2020.	The Company, vide its response letter dated 4 th June, 2020, have informed that the delay in notifying the said transaction(s) on the equity shares of the Company to the Stock Exchange(s) within two trading days on becoming aware of such information under Regulation 7(2)(b) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 was caused due to the temporary closure of the Company in accordance with the directives / guidelines issued by the Central and State Government(s) on account of the ongoing pandemic of COVID-19.	As the Company has belatedly filed the disclosure under Regulation 7(2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015, no further action is required to be taken by the listed entity.	Not Applicable



- (e) There was no event of appointment / re-appointment / resignation of statutory auditor of the listed entity during the period under review. Further, the terms of appointment of its existing statutory auditor are in compliance of the Para 6(A) and 6(B) of the SEBI Circular No. CIR/CFD/CMD1/114/2019 dated 18th October, 2019.

M. D. Selvaraj



M D Selvaraj
MDS & Associates
Company Secretaries
FCS. No: 960; CP. No: 411
UDIN: F000960C000430884
Peer Review No. 985/2020

Place : Coimbatore
Date : 10.06.2021